FORM	PTO-139	90 (Modified) U.S. DEPARTMENT OF COMM	MERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
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Appl	icant	herewith submits to the United States Desi	ignated/Elected Office (DO/EO/US) the	e following items and other information:
1.	×	This is a FIRST submission of items cor	,	<b>G</b>
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3.		This is an express request to begin nation		371(f)). The submission must include itens (5), (6),
		(9) and (24) indicated below.  The US has been elected by the expiration		- /A
4. 5.		The US has been elected by the expiration A copy of the International Application a	. ,	(Afficie 31).
<b>.</b>		··	ly if not communicated by the Internati	rional Bureau).
		b.  has been communicated by the	•	Tonai Barcaay.
l			on was filed in the United States Receiv	ving Office (RO/US).
6.		An English language translation of the Ir	nternational Application as filed (35 U.	.S.C. 371(c)(2)).
		a.  is attached hereto.		
		b.   has been previously submitted to	under 35 U.S.C. 154(d)(4).	
7.		Amendments to the claims of the Internat		
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		b. have been communicated by the		
	;	c. have not been made; however, t	the time limit for making such amendm	nents has NOT expired.
8.		An English language translation of the ar		≠iala 10 (25 11 5 € 271(a)(2))
9.		An eath or declaration of the inventor(s)	,	micie 19 (33 0.3.0. 3/1(6)(3)).
10.		An English language translation of the ar Article 36 (35 U.S.C. 371 (c)(5)).		Examination Report under PCT
11.	$\boxtimes$	A copy of the International Preliminary E	Examination Report (PCT/IPEA/409).	
12.	Ø	A copy of the International Search Repor	rt (PCT/ISA/210).	
I	ems 1	13 to 20 below concern document(s) or in	ıformation included:	
13.		An Information Disclosure Statement un	nder 37 CFR 1.97 and 1.98.	
14.		An assignment document for recording.	A separate cover sheet in compliance v	with 37 CFR 3.28 and 3.31 is included.
15.	×	A FIRST preliminary amendment.	•	
16.		A SECOND or SUBSEQUENT prelimin	nary amendment.	
17.		A substitute specification.		·
18. 19.		A computer-readable form of the sequence		124-20-226110-0-1-001-1-006
20.		A computer-readable form of the sequence A second copy of the published internation		
21.		A second copy of the English language tr		
22.	×	Certificate of Mailing by Express Mail	ansiation of the international application	on under 35 0.6.c. 15+(a)(+).
23.		Other items or information		
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U,S. APPLICATION	NNO. JIF KNOWN, SEE 37 CFR 9 / 889075	PCT/AU00/000		1	DOCKET NUMBER 29/37581
24. The f	following fees are submitted:.	<u></u>	<del></del>	CALCULATION	S PTO USE ONLY
BASIC NATION	AL FEE ( 37 CFR 1.492 (a) (1) -	* * *			
internation	ternational preliminary examination nal search fee (37 CFR 1.445(a)(2)) ational Search Report not prepared	) paid to USPTO	\$1000.00		
	nal preliminary examination fee (37 it International Search Report preparation		\$860.00		
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☐ Internation but all clar	nal preliminary examination fee (37 ms did not satisfy provisions of PC	CFR 1.482) paid to USPTO CT Article 33(1)-(4)	\$690.00		
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	ENTER APPROPRI	ATE BASIC FEE AMO	OUNT =	\$1,000.00	
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Total claims	43 - 20 =	23	x \$18.00	\$414.00	
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		SUB	ΓOTAL =	\$1,684.00	
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2002 - 2002

PATENT 30397/37581

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Atkins et al.	) I hereby certify that this paper is
Serial No.: 09/889,075 U.S. National Phase of PCT/AU00/00011	<ul><li>being deposited with the United</li><li>States Postal Service with sufficient</li><li>postage as first class mail in an</li></ul>
Filed: January 11, 2000	<ul><li>) envelope addressed to:</li><li>) Commissioner for Patents,</li></ul>
For: Catalytic Molecules	<ul><li>) Washington, D.C. 20231 on this date:</li><li>)</li></ul>
Group Art Unit: Not Yet Assigned	) September 4, 2002 )
Examiner: Not Yet Assigned	) David A. Gass
	<ul><li>) Registration No. 38,153</li><li>) Attorney for Applicants</li></ul>

# PRELIMINARY AMENDMENT

Commissioner for Patents Washington, DC 20231

Sir:

Please amend the above-identified application as follows, prior to calculation of the filing fee (with extra claims) and prior to examination of the merits:

# **IN THE CLAIMS:**

**AMEND** Claims 48, 49 and 59as follows:

- 48. (AMENDED) A pharmaceutical composition comprising a DNAzyme according to claim 20 and a pharmaceutically acceptable carrier.
- 49. (AMENDED) A method of inhibiting EGR-1 activity in cells which comprises exposing the cell to a DNAzyme according to claim 20.

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09/889,075

59. (AMENDED) An angioplastic stent for inhibition of the onset of restenosis, which comprises an angioplastic stent operably coated with a prophylactially effective dose of DNAzyme according to claim 20.

# REMARKS

The applicant respectfully submits that all claims are now of proper form and scope for allowance. Early and favorable consideration is respectfully requested.

The foregoing amendments are intended solely to reduce the PTO fees for multiple dependent claims and claims in excess of 20. The applicants reserve the right to pursue subject matter of any cancelled claim in this application or any related (e.g., continuing) application.

Attached hereto is a marked-up version of the changes made to the claims by the foregoing amendments. The attached page is captioned "Version with markings to show changes made."

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

By:

David A. Gass Reg. No. 38,153 6300 Sears Tower 233 South Wacker Drive

Chicago, Illinois 60606-6402

(312) 474-6300

September 3, 2002

30397/37581

# **VERSION WITH MARKINGS TO SHOW CHANGES MADE**

# **IN THE CLAIMS:**

AMEND Claims 48, 49 and 59 as follows:

- 48. (AMENDED) A pharmaceutical composition comprising a DNAzyme according to [any one of claims 20 to 47] <u>claim 20</u> and a pharmaceutically acceptable carrier.
- 49. (AMENDED) A method of inhibiting EGR-1 activity in cells which comprises exposing the cell to a DNAzyme according to [any one of claims 20 to 47] claim 20.
- 59. (AMENDED) An angioplastic stent for inhibition of the onset of restenosis, which comprises an angioplastic stent operably coated with a prophylactially effective dose of DNAzyme according to [any one of claims 20 to 47] claim 20.

# 09/889075 JC18 Rec'd PCT/PTO 1 1 JUL 2001

PATENT 29729/37581

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: David G. Atkins et al	)	"EXPRESS MAIL" mailing label No.
	)	EL564462123US
U.S. National Phase of	)	
International Application No:	)	hereby certify that this paper is being
PCT/AU/00/00011		deposited with the United States Postal
filed January 11, 2000		Service as first class mail, postage
		prepaid, in an envelope addressed to:
Serial No: Not yet assigned		Box PCT, Commissioner for Patents,
•	) '	Washington, D.C. 20231, on this date
Filed: herewith	)	,
	) ]	fuly 11, 2001
Title: CATALYTIC MOLECULES	)	
	)	
Group Art Unit: Not yet assigned	)	Kichaul In
_	) 1	Richard Zimmermann
Examiner: Not yet assigned	)	

# PRELIMINARY AMENDMENT

Box PCT Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This amendment is being filed with the U.S. National filing of the above identified PCT application. The Applicant requests entry of this amendments prior to calculation of the filing fee and prior to examination on the merits.

#### **AMENDMENTS**

# In the Abstract:

Please add to the application the abstract attached hereto.

# In the claims:

Please cancel claims 1-19 and insert the following claims 20-62 as shown below:

- 20. A DNAzyme which specifically cleaves EGR-1 mRNA, the DNAzyme comprising (i) a catalytic domain which cleaves mRNA at a purine:pyrimidine cleavage site;
  - (ii) a first binding domain continuous with the 5' end of the catalytic domain; and
- (iii) a second binding domain continuous with the 3' end of the catalytic domain, wherein the binding domains are sufficiently complementary to the two regions immediately flanking a purine:pyrimidine cleavage site within the region of EGR-1 mRNA corresponding to nucleotides 168-332 as shown in SEQ ID No: 1, such that the DNAzyme cleaves the EGR-1 mRNA.
- 21. A DNAzyme as claimed in claim 20 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 22. A DNAzyme as claimed in claim 20 in which the cleavage site is selected from the group consisting of
  - (i) the GU site corresponding to nucleotides 198-199;
  - (ii) the GU site corresponding to nucleotides 200-201;
  - (iii) the GU site corresponding to nucleotides 264-265;
  - (iv) the AU site corresponding to nucleotides 271-272;
  - (v) the AU site corresponding to nucleotides 301-302;
  - (vi) the GU site corresponding to nucleotides 303-304; and
  - (vii) the AU site corresponding to nucleotides 316-317.
- 23. A DNAzyme as claimed in claim 22 in which the cleavage site is the AU site corresponding to nucleotides 271-272.
- 24. A DNAzyme as claimed in claim 22 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 25. A DNAzyme as claimed in claim 23 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 26. A DNAzyme as claimed in claim 20 in which the catalytic domain has the nucleotide sequence GGCTAGCTACAACGA [SEQ. ID. NO: 2].
- 27. A DNAzyme as claimed in claim 26 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.

- 28. A DNAzyme as claimed in claim 26 in which the cleavage site is selected from the group consisting of
  - (i) the GU site corresponding to nucleotides 198-199;
  - (ii) the GU site corresponding to nucleotides 200-201;
  - (iii) the GU site corresponding to nucleotides 264-265;
  - (iv) the AU site corresponding to nucleotides 271-272;
  - (v) the AU site corresponding to nucleotides 301-302;
  - (vi) the GU site corresponding to nucleotides 303-304; and
  - (vii) the AU site corresponding to nucleotides 316-317.
- 29. A DNAzyme as claimed in claim 28 in which the cleavage site is the AU site corresponding to nucleotides 271-272.
- 30. A DNAzyme as claimed in claim 28 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 31. A DNAzyme as claimed in claim 29 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 32. A DNAzyme as claimed in claim 20 wherein each binding domain is nine or more nucleotides in length.
- 33. A DNAzyme as claimed in claim 32 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 34. A DNAzyme as claimed in claim 32 in which the cleavage site is selected from the group consisting of
  - (i) the GU site corresponding to nucleotides 198-199;
  - (ii) the GU site corresponding to nucleotides 200-201;
  - (iii) the GU site corresponding to nucleotides 264-265;
  - (iv) the AU site corresponding to nucleotides 271-272;
  - (v) the AU site corresponding to nucleotides 301-302;
  - (vi) the GU site corresponding to nucleotides 303-304; and
  - (vii) the AU site corresponding to nucleotides 316-317.
- 35. A DNAzyme as claimed in claim 34 in which the cleavage site is the AU site corresponding to nucleotides 271-272.
- 36. A DNAzyme as claimed in claim 34 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 37. A DNAzyme as claimed in claim 35 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 38. A DNAzyme as claimed in claim 32 in which the catalytic domain has the nucleotide sequence GGCTAGCTACAACGA [SEQ ID NO: 2].

- 39. A DNAzyme as claimed in claim 38 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 40. A DNAzyme as claimed in claim 38 in which the cleavage site is selected from the group consisting of
  - (i) the GU site corresponding to nucleotides 198-199;
  - (ii) the GU site corresponding to nucleotides 200-201;
  - (iii) the GU site corresponding to nucleotides 264-265;
  - (iv) the AU site corresponding to nucleotides 271-272;
  - (v) the AU site corresponding to nucleotides 301-302;
  - (vi) the GU site corresponding to nucleotides 303-304; and
  - (vii) the AU site corresponding to nucleotides 316-317.
- 41. A DNAzyme as claimed in claim 40 in which the cleavage site is the AU site corresponding to nucleotides 271-272.
- 42. A DNAzyme as claimed in claim 40 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 43. A DNAzyme as claimed in claim 41 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 44. A DNAzyme as claimed in claim 20 which has a sequence selected from the group consisting of:
  - (i) 5'-caggggacaGGCTAGCTACAACGAcgttgcggg (SEQ ID NO: 3);
  - (ii) 5'-tgcaggggaGGCTAGCTACAACGAaccgttgcg (SEQ ID NO: 4);
  - (iii) 5'-catcctggaGGCTAGCTACAACGAgagcaggct (SEQ ID NO: 5);
  - (iv) 5'-ccgcggccaGGCTAGCTACAACGAcctggacga (SEQ ID NO: 6);
  - (v) 5'-ccgctgccaGGCTAGCTACAACGAcccggacgt (SEQ ID NO: 7);
  - (vi) 5'-gcggggacaGGCTAGCTACAACGAcagctgcat (SEQ ID NO: 8);
  - (vii) 5'-cagcggggaGGCTAGCTACAACGAatcagctgc (SEQ ID NO: 9); and
  - (viii) 5'-ggtcagagaGGCTAGCTACAACGActgcagcgg (SEQ ID NO: 10).
- 45. A DNAzyme as claimed in claim 44 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 46. A DNAzyme as claimed in claim 44 which has the sequence: 5'-ccgcggccaGGCTAGCTACAACGAcctggacga (SEQ ID NO: 6).
- 47. A DNAzyme as claimed in claim 46 wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3'-end of the catalytic domain.
- 48. A pharmaceutical composition comprising a DNAzyme according to any one of claims 20 to 47 and a pharmaceutically acceptable carrier.

- 49. A method of inhibiting EGR-1 activity in cells which comprises exposing the cell to a DNAzyme according to any one of claims 20 to 47.
- 50. A method as claimed in claim 49 wherein the cells are vascular cells.
- 51. A method as claimed in any one of claims 49 wherein the cells are cells involved in neoplasia.
- 52. A method of inhibiting proliferation or migration of cells in a subject which comprises administering to the subject a prophylactically effective dose of the pharmaceutical composition according to claim 48.
- 53. A method as claimed in claim 52 wherein the cells are vascular cells.
- 54. A method as claimed in any one of claims 52 wherein the cells are cells involved in neoplasia.
- 55. A method of treating a condition associated with cell proliferation or migration in a subject which comprises administering to the subject a therapeutically effective dose of the pharmaceutical composition according to claim 48.
- 56. A method as claimed in claim 55 wherein the cells are vascular cells.
- 57. A method as claimed in any one of claims 55 wherein the cells are cells involved in neoplasia.
- 58. A method as claimed in claim 55 wherein the condition associated with cell proliferation or migration is selected from the group consisting of post-angioplasty restenosis, vein graft failure, hypertension, transplant coronary disease, and complications associated with atherosclerosis or peripheral vascular disease.
- 59. An angioplastic stent for inhibition of the onset of restenosis, which comprises an angioplastic stent operably coated with a prophylactically effective dose of a DNAzyme according to any one of claims 20 to 47.
- 60. A method for inhibiting the onset of restenosis in a subject undergoing angioplasty, which comprises topically administering a prophylactically effective dose of a pharmaceutical composition according to claim 48 to the subject at around the time of the angioplasty.
- 61. A method according to claim 60 in which the pharmaceutical composition is administered by catheter.
- 62. A method for inhibiting the onset of restenosis in a subject undergoing angioplasty, which comprises topically administering a stent according to claim 58 to the subject at around the time of the angioplasty.

# **REMARKS**

The Applicant is canceling the original claim set without prejudice to the Applicant's right to pursue claims of the same or similar scope in a duly filed continuing application, and is filing a new set of claims intended solely to place the claims in proper multiple dependent format. The following table demonstrates the new claims as they correspond to the original claims set:

New Claim No.	Original Claim No. and (Dependency)
Claim 20	Claim 1
Claim 21	Claim 8 (1)
Claim 22	Claim 4 (1)
Claim 23	Claim 5 (4)
Claim 24	Claim 8 (4)
Claim 25	Claim 8 (5)
Claim 26	Claim 3 (1)
Claim 27	Claim 8 (3)
Claim 28	Claim 4 (3)
Claim 29	Claim 5 (4)
Claim 30	Claim 8 (4)
Claim 31	Claim 8 (5)
Claim 32	Claim 2 (1)
Claim 33	Claim 8 (2)
Claim 34	Claim 4 (2)
Claim 35	Claim 5 (4)
Claim 36	Claim 8 (4)
Claim 37	Claim 8 (5)
Claim 38	Claim 3 (2)
Claim 39	Claim 8 (3)
Claim 40	Claim 4 (3)
Claim 41	Claim 5 (4)
Claim 42	Claim 8 (4)
Claim 43	Claim 8 (5)
Claim 44	Claim 6 (1)
Claim 45	Claim 8 (6)
Claim 46	<b>Claim 7 (6)</b>
Claim 47	Claim 8 (7)
Claim 48	Claim 9 (1-8)

New Claim No.	Original Claim No. and (Dependency)
Claim 49	Claim 10 (1-8)
Claim 50	Claim 13 (10)
Claim 51	Claim 14 (10)
Claim 52	Claim 11 (9)
Claim 53	Claim 13 (11)
Claim 54	Claim 14 (11)
Claim 55	Claim 12 (9)
Claim 56	Claim 13 (12)
Claim 57	Claim 14 (12)
Claim 58	Claim 15 (12)
Claim 59	Claim 16 (1-8)
Claim 60	Claim 17 (9)
Claim 61	Claim 18 (17)
Claim 62	Claim 19 (15)

The new claim set does not introduce new matter.

The abstract of the disclosure is identical to the abstract in the published

PCT pamphlet.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN, **MURRAY & BORUN** 

6300 Sears Tower

233 South Wacker Drive

Chicago, Illinois 60606

Dated: July 11, 2001

Attorney for Applicants

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# **CATALYTIC MOLECULES**

#### FIELD OF THE INVENTION

The present invention relates to DNAzymes which are targeted against mRNA molecules encoding EGR-1 (also known as Egr-1 or NGFI-A). The present invention also relates to compositions including these DNAzymes and to methods of treatment involving administration of the DNAzymes.

#### BACKGROUND OF THE INVENTION

# Egr-1 expression in Smooth Muscle Cells

Smooth muscle cells (SMCs) are well recognized as a significant cellular component of atherosclerotic and post-angioplasty restenotic lesions (Stary et al, 1995; Holmes et al, 1984). SMC migration and proliferation are key events in the pathogenesis of these vascular disorders (Jackson & Schwartz, 1992; Libby et al, 1995). The promoter regions of many genes that encode mitogenic and migratory factors expressed by SMCs in these lesions (Evanko et al, 1998; Murry et al, 1996; Ueda et al, 1996; Tanizawa et al, 1996; Rekhter & Gordon, 1994; Hughes et al, 1993; Brogi et al, 1993; Wilcox et al 1989; Wilcox et al, 1988) contain nucleotide (nt) recognition elements for the nuclear protein and transcription factor, Egr-1 (Khachigian & Collins, 1997; Khachigian et al, 1996). Egr-1 is not expressed in the unmanipulated artery wall, but is rapidly activated by mechanical injury (Khachigian et al, 1996; Silverman et al, 1997; Kim et al, 1995). It is also induced in vascular endothelial cells and/or SMCs exposed to fluid biomechanical forces (Khachigian et al, 1997; Sumpio et al, 1998) and multiple other pathophysiologically-relevant agonists (Delbridge & Khachigian, 1997).

**DNAzymes** 

In human gene therapy, antisense nucleic acid technology has been one of the major tools of choice to inactivate genes whose expression causes disease and is thus undesirable. The anti-sense approach employs a nucleic acid molecule that is complementary to, and thereby hybridizes with, an mRNA molecule encoding an undesirable gene. Such hybridization leads to the inhibition of gene expression.

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Anti-sense technology suffers from certain drawbacks. Anti-sense hybridization results in the formation of a DNA/target mRNA heteroduplex. This heteroduplex serves as a substrate for RNAse H-mediated degradation of the target mRNA component. Here, the DNA anti-sense molecule serves in a passive manner, in that it merely facilitates the required cleavage by endogenous RNAse H enzyme. This dependence on RNAse H confers limitations on the design of anti-sense molecules regarding their chemistry and ability to form stable heteroduplexes with their target mRNA's. Anti-sense DNA molecules also suffer from problems associated with non-specific activity and, at higher concentrations, even toxicity.

As an alternative to anti-sense molecules, catalytic nucleic acid molecules have shown promise as therapeutic agents for suppressing gene expression, and are widely discussed in the literature (Haseloff (1988); Breaker (1994); Koizumi (1989); Otsuka; Kashani-Sabet (1992); Raillard (1996); and Carmi (1996)). Thus, unlike a conventional anti-sense molecule, a catalytic nucleic acid molecule functions by actually cleaving its target mRNA molecule instead of merely binding to it. Catalytic nucleic acid molecules can only cleave a target nucleic acid sequence if that target sequence meets certain minimum requirements. The target sequence must be complementary to the hybridizing regions of the catalytic nucleic acid, and the target must contain a specific sequence at the site of cleavage.

Catalytic RNA molecules ("ribozymes") are well documented (Haseloff (1988); Symonds (1992); and Sun (1997)), and have been shown to be capable of cleaving both RNA (Haseloff (1988)) and DNA (Raillard (1996)) molecules. Indeed, the development of in vitro selection and evolution techniques has made it possible to obtain novel ribozymes against a known substrate, using either random variants of a known ribozyme or random-sequence RNA as a starting point (Pan (1992); Tsang (1994); and Breaker (1994)).

Ribozymes, however, are highly susceptible to enzymatic hydrolysis within the cells where they are intended to perform their function. This in turn limits their pharmaceutical applications.

Recently, a new class of catalytic molecules called "DNAzymes" was created (Breaker and Joyce (1995); Santoro (1997)). DNAzymes are single-stranded, and cleave both RNA (Breaker (1994); Santoro (1997)) and DNA (Carmi (1996)). A general model for the DNAzyme has been proposed, and is known as the "10-23" model. DNAzymes following the "10-23" model, also

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referred to simply as "10-23 DNAzymes", have a catalytic domain of 15 deoxyribonucleotides, flanked by two substrate-recognition domains of seven to nine deoxyribonucleotides each. In vitro analyses show that this type of DNAzyme can effectively cleave its substrate RNA at purine:pyrimidine junctions under physiological conditions (Santoro (1997)).

DNAzymes show promise as therapeutic agents. However, DNAzyme success against a disease caused by the presence of a known mRNA molecule is not predictable. This unpredictability is due, in part, to two factors. First, certain mRNA secondary structures can impede a DNAzyme's ability to bind to and cleave its target mRNA. Second, the uptake of a DNAzyme by cells expressing the target mRNA may not be efficient enough to permit therapeutically meaningful results. For these reasons, merely knowing of a disease and its causative target mRNA sequence does not alone allow one to reasonably predict the therapeutic success of a DNAzyme against that target mRNA, absent an inventive step.

#### SUMMARY OF THE INVENTION

Accordingly, in a first aspect the present invention provides a DNAzyme which specifically cleaves EGR-1 mRNA, the DNAzyme including

- (i) a catalytic domain which cleaves mRNA at a purine:pyrimidine cleavage site;
- (ii) a first binding domain contiguous with the 5' end of the catalytic domain; and
- (iii) a second binding domain contiguous with the 3' end of the catalytic domain,

wherein the binding domains are sufficiently complementary to two regions immediately flanking a purine:pyrimidine cleavage site within the region of EGR-1 mRNA corresponding to nucleotides 168 to 332 as shown in SEQ ID NO:1, such that the DNAzyme cleaves the EGR-1 mRNA.

In a second aspect the present invention provides a pharmaceutical composition including a DNAzyme according to the first aspect and a pharmaceutically acceptable carrier.

In a third aspect the present invention provides a method of inhibiting EGR-1 activity in cells which includes exposing the cells to a DNAzyme according to the first aspect of the present invention.

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In a fourth aspect the present invention provides a method of inhibiting proliferation or migration of cells in a subject which includes administering to the subject a prophylactically effective dose of a DNAzyme according to the first aspect of the present invention.

In a fifth aspect the present invention provides a method of treating a condition associated with cell proliferation or migration in a subject which includes administering to the subject a prophylactically effective dose of a DNAzyme according to the first aspect of the present invention.

In a sixth aspect the present invention provides an angioplastic stent for inhibiting the onset of restenosis, which comprises an angioplastic stent operably coated with a prophylactically effective dose of a DNAzyme according to the first aspect.

In a seventh aspect, the present invention provides a method for inhibiting the onset of restenosis in a subject undergoing angioplasty, which comprises topically administering a stent according to the fifth aspect to the subject at around the time of the angioplasty.

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#### BRIEF DESCRIPTION OF THE FIGURES

Figure 1 Sequence of NGFI-A DNAzyme (ED5), its scrambled control (ED5SCR) and 23 nt synthetic rat substrate. The translational start site is underlined.

Figure 2 NGFI-A DNAzyme inhibits the induction of NGFI-A mRNA and protein by serum. Northern blot analysis was performed with 25  $\mu$ g of total RNA. The blot was stripped and reprobed for  $\beta$ -Actin. Autoradiograms were analyzed by scanning densitometry and the ordinate axis is expressed as NGFI-A band intensity as a fraction of  $\beta$ -Actin band intensity. The mean and standard errors of the mean are indicated in the figure. Data is representative of 2 independent experiments. \* indicates P<0.05 (Student's paired t-test) as compared to control (FBS alone).

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Figure 3 SMC proliferation is inhibited by NGFI-A DNAzyme. a, Assessment of total cell numbers by Coulter counter. Growth-arrested SMCs that had been exposed to serum and/or DNAzyme for 3 days were trypsinized followed by quantitation of the suspension. The sequence of AS2 is 5'-CTT GGC CGC TGC CAT-3' (SEQ ID NO: 20). b, Proportion of cells incorporating Trypan Blue after exposure to serum and/or DNAzyme. Cells were stained incubated in 0.2% (w:v) Trypan Blue at 22 °C for 5 min prior to quantitation by hemocytometer in a blind manner. c, Effect of ED5 on pup SMC proliferation. Growth-arrested WKY12-22 cells exposed to serum and/or DNAzyme for 3 days were resuspended and numbers were quantitated by Coulter counter. Data is representative of 2 independent experiments performed in triplicate. The mean and standard errors of the mean are indicated in the figure. \* indicates P<0.05 (Student's paired t-test) as compared to control (FBS alone).

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Figure 4 NGFI-A DNAzyme inhibition of neointima formation in the rat carotid artery. Neointimal and medial areas of 5 consecutive sections per rai (5 rats per group) taken at 250  $\mu$ m intervals from the point of ligation were determined digitally and expressed as a ratio per group. The mean and standard errors of the mean are indicated by the ordinate axis. \* denotes

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P<0.05 as compared to the Lig, Lig+Veh or Lig+Veh+ED5SCR groups using the Wilcoxen rank sum test for unpaired data. Lig denotes ligation, Veh denotes vehicle.

Figure 5 Selective inhibition of human smooth muscle cell proliferation by DzA.

Figure 6 Specific inhibition of porcine retinal smooth muscle cell proliferation by DzA.

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#### DETAILED DESCRIPTION OF THE INVENTION

Egr-1 (also known as NGFI-A and EGR-1) binds to the promoters of genes whose products influence cell movement and replication in the artery wall. Table 1 shows an alignment of the human EGR-1 cDNA sequence with the equivalent mouse (Egr-1) and rat (NGFI-A) sequences. The present inventors have now developed DNA-based enzymes that cut NGFI-A/Egr-1/EGR-1 RNA with high efficiency and specificity. The NGFI-A "DNAzyme" cleaved synthetic and in vitro transcribed NGFI-A RNA in a sequence-specific manner and inhibited production of NGFI-A in vascular smooth muscle cells without influencing levels of the related zinc finger protein, Sp1, or the immediate-early gene product, c-Fos. The DNAzyme blocked seruminducible DNA synthesis in smooth muscle cells and attenuated total cell proliferation. The DNAzyme also inhibited the reparative response to mechanical injury, both in culture and in the rat carotid artery wall. These results indicate a necessary and sufficient role for NGFI-A/Egr-1/EGR-1 in vascular smooth muscle cell growth and provide the first demonstration of a DNAzyme targeted against NGFI-A/Egr-1/EGR-1 transcripts.

Accordingly, in a first aspect the present invention provides a DNAzyme which specifically cleaves EGR-1 mRNA, the DNAzyme including

- (i) a catalytic domain which cleaves mRNA at a purine:pyrimidine cleavage site;
- (ii) a first binding domain contiguous with the 5' end of the catalytic domain; and
- (iii) a second binding domain contiguous with the 3' end of the catalytic domain,

wherein the binding domains are sufficiently complementary to two regions immediately flanking a purine:pyrimidine cleavage site within the region of EGR-1 mRNA corresponding to nucleotides 168 to 332 as shown in SEQ ID NO:1, such that the DNAzyme cleaves the EGR-1 mRNA.

As used herein, "DNAzyme" means a DNA molecule that specifically recognizes and cleaves a distinct target nucleic acid sequence, which may be either DNA or RNA

In a preferred embodiment of the first aspect of the present invention, the binding domains are complementary to the regions immediately flanking the cleavage site. It will be appreciated by those skilled in the art, however,

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that strict complementarity may not be required for the DNAzyme to bind to and cleave the EGR-1 mRNA.

The catalytic domain of a DNAzyme of the present invention may be any suitable catalytic domain. Examples of suitable catalytic domains are described in *Santoro and Joyce*, 1997 and US 5807718, the entire contents of which are incorporated herein by reference. In a preferred embodiment, the catalytic domain has the nucleotide sequence GGCTAGCTACAACGA (SEQ ID NO: 2).

Within the parameters of the present invention, the binding domain lengths (also referred to herein as "arm lengths") can be of any permutation, and can be the same or different. In a preferred embodiment, the binding domain lengths are at least 6 nucleotides. Preferably, both binding domains have a combined total length of at least 14 nucleotides. Various permutations in the length of the two binding domains, such as 7+7, 8+8 and 9+9, are envisioned. It is well established that the greater the binding domain length, the more tightly it will bind to its complementary mRNA sequence. Accordingly, in a more preferred embodiment, each domain is nine or more nucleotides in length.

Within the context of the present invention, preferred cleavage sites within the region of EGR-1 mRNA corresponding to nucleotides 168 to 332 are as follows:

- (i) the GU site corresponding to nucleotides 198-199;
- (ii) the GU site corresponding to nucleotides 200-201;
- (iii) the GU site corresponding to nucleotides 264-265;
- (iv) the AU site corresponding to nucleotides 271-272;
- (v) the AU site corresponding to nucleotides 301-302;
- (vi) the GU site corresponding to nucleotides 303-304; and
- (vii) the AU site corresponding to nucleotides 316-317.
- In a further preferred embodiment, the DNAzyme has a sequence selected from:
  - (i) 5'-caggggacaGGCTAGCTACAACGAcgttgcggg (SEQ ID NO: 3) targets GU (nt 198, 199); arms hybridise to bp 189-207
- 35 (ii) 5'-tgcaggggaGGCTAGCTACAACGAaccgttgcg (SEQ ID NO: 4) targets GU (nt 200, 201); arms hybridise to bp 191-209

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- (iii) 5'-catcctggaGGCTAGCTACAACGAgagcaggct (SEQ ID NO: 5) targets GU (nt 264, 265); arms hybridise to bp 255-273
- 5 (iv) 5'-ccgcggccaGGCTAGCTACAACGAcctggacga (SEQ ID NO: 6) targets AU (nt 271, 272); arms hybridise to bp 262-280
  - (v) 5'-ccgctgccaGGCTAGCTACAACGAcccggacgt (SEQ ID NO: 7) targets AU (nt 271, 272); arms hybridise to bp 262-280
  - (vi) 5'-gcggggacaGGCTAGCTACAACGAcagctgcat (SEQ ID NO: 8) targets AU (nt 301, 302); arms hybridise to bp 292-310
  - (vii) 5'-cagcggggaGGCTAGCTACAACGAatcagctgc (SEQ ID NO: 9) targets GU (nt 303, 304); arms hybridise to bp 294-312
    - (viii) 5'-ggtcagagaGGCTAGCTACAACGActgcagcgg (SEQ ID NO: 10) targets AU (nt 316, 317); arms hybridise to bp 307-325.

In a particularly preferred embodiment, the DNAzyme targets the AU site corresponding to nucleotides 271-272 (ie. the translation start codon).

In a further preferred embodiment, the DNAzyme has the sequence: 5'-ccgcggccaGGCTAGCTACAACGAcctggacga (SEQ ID NO: 6).

In applying DNAzyme-based treatments, it is preferable that the DNAzymes be as stable as possible against degradation in the intra-cellular milieu. One means of accomplishing this is by incorporating a 3'-3' inversion at one or more termini of the DNAzyme. More specifically, a 3'-3' inversion (also referred to herein simply as an "inversion") means the covalent phosphate bonding between the 3' carbons of the terminal nucleotide and its adjacent nucleotide. This type of bonding is opposed to the normal phosphate bonding between the 3' and 5' carbons of adjacent nucleotides, hence the term "inversion". Accordingly, in a preferred embodiment, the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3' end of the catalytic domain. In addition to inversions, the instant DNAzymes may contain modified nucleotides. Modified nucleotides

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include, for example, N3'-P5' phosphoramidate linkages, and peptide-nucleic acid linkages. These are well known in the art.

In a particularly preferred embodiment, the DNAzyme includes an inverted T at the 3' position.

As will be appreciated by those skilled in the art, given that DNAzymes of the present invention cleave human EGR-1, similar DNAzymes can be produced to cleave the corresponding mRNA in other species, eg. rat (NGFI-A), mouse (Egr-1) etc. In a further aspect, the present invention provides such DNAzymes.

In a second aspect the present invention provides a pharmaceutical composition including a DNAzyme according to the first aspect and a pharmaceutically acceptable carrier.

In a third aspect the present invention provides a method of inhibiting EGR-1 activity in cells which includes exposing the cells to a DNAzyme according to the first aspect of the present invention.

In a fourth aspect the present invention provides a method of inhibiting proliferation or migration of cells in a subject which includes administering to the subject a prophylactically effective dose of a DNAzyme according to the first aspect of the present invention.

In a fifth aspect the present invention provides a method of treating a condition associated with cell proliferation or migration in a subject which includes administering to the subject a prophylactically effective dose of a DNAzyme according to the first aspect of the present invention.

In preferred embodiments of the third, fourth and fifth aspects of the present invention, the cells are vascular cells, particularly smooth muscle or endothelial cells. The cells may, however, be cells involved in neoplasia, such as tumour cells and the like.

Although the subject may be any animal or human, it is preferred that the subject is a human.

In a preferred embodiment, conditions associated with SMC proliferation(and migration) are selected from post-angioplasty restenosis, vein graft failure, transplant coronary disease and complications associated with atherosclerosis (cerebrovascular infarction (stroke), myocardial infarction (heart attack), hypertension or peripheral vascular disease (gangrene of the extremities).

Within the parameters of the fourth and fifth aspects of the present invention, any suitable mode of administration may be used to administer or deliver the DNAzyme.

In particular, delivery of the nucleic acid agents described may be achieved by one or more of the following methods:

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- (a) Liposomes and liposome-protein conjugates and mixtures.
- (b) Using catheters to deliver intra-luminal formulations of the nucleic acid as a solution or in a complex with a liposome.
- (c) Catheter delivery to adventitial tissue as a solution or in a complex with a liposome.
- (d) Within a polymer formulation such as polyethylenimine (PEI) or pluronic gels or within ethylene vinyl acetate copolymer (EVAc). The polymer is preferably delivered intra-luminally.
- (e) The nucleic acid may be bound to a delivery agent such as a targetting moiety, or any suitable carrier such as a peptide or fatty acid molecule.
- (f) Within a viral-liposome complex, such as Sendai virus.
- (g) The nucleic acid may be delivered by a double angioplasty balloon device fixed to catheter.
- (h) The nucleic acid could be delivered on a specially prepared stent of the Schatz-Palmaz or derivative type. The stent could be coated with a polymer or agent impregnated with nucleic acid that allows controlled release of the molecules at the vessel wall.

In a preferred embodiment, the mode of administration is topical administration. Topical administration may be effected or performed using any of the various methods and delivery systems known to those skilled in the art. The topical administration can be performed, for example, via catheter and topical injection, and via coated stent as discussed below.

Pharmaceutical carriers for topical administration are well known in the art, as are methods for combining same with active agents to be delivered. The following delivery systems, which employ a number of routinely used carriers, are only representative of the many embodiments envisioned for administering the instant composition.

Topical delivery systems include, for example, gels and solutions, and can contain excipients such as solubilizers, permeation enhancers (e.g., fatty acids, fatty acid esters, fatty alcohols and amino acids), and hydrophilic

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polymers (e.g., polycarbophil and polyvinylpyrolidone). In the preferred embodiment, the pharmaceutically acceptable carrier is a liposome or a biodegradable polymer. Examples of agents which can be used in this invention include the following: (1) CellFectin, 1:1.5 (M/M) liposome formulation of the cationic lipid N,N<sup>I</sup>,N<sup>II</sup>,N<sup>III</sup>-tetramethyl-N,N<sup>I</sup>,N<sup>III</sup>-tetrapalmitylspermine and dioleoyl phosphatidyl-ethanolamine (DOPE) (GIBCO BRL); (2) Cytofection GSV, 2:1 (M/M) liposome formulation of a cationic lipid and DOPE (Glen Research); (3) DOTAP (N-[1-{2,3-dioleoyloxy}-N,N,N-trimethyl-ammoniummethylsulfate) (Boehringer Mannheim); (4) Lipofectamine, 3:1 (M/M) liposome formulation of the polycationic lipid DOSPA and the neutral lipid DOPE (GIBCO BRL); (5) FuGENE<sup>6</sup> (Roche Molecular Biochemicals); (6) Superfect (Qiagen); and (7) Lipofectamine 2000 (Gibco-life Technologies).

Examples of suitable methods for topical administration of the DNAzymes of the present invention are described in Autieri et al. (1995), Simons et al. (1992), Morishita et al. (1993), Bennett and Schwartz (1995) and Frimerman et al. (1999).

Determining the prophylactically effective dose of the instant pharmaceutical composition can be done based on animal data using routine computational methods. In one embodiment, the prophylactically effective dose contains between about 0.1 mg and about 1 g of the instant DNAzyme. In another embodiment, the prophylactically effective dose contains between about 1 mg and about 100 mg of the instant DNAzyme. In a further embodiment, the prophylactically effective dose contains between about 10 mg and about 50 mg of the instant DNAzyme. In yet a further embodiment, the prophylactically effective dose contains about 25 mg of the instant DNAzyme.

In a sixth aspect the present invention provides an angioplastic stent for inhibiting the onset of restenosis, which comprises an angioplastic stent operably coated with a prophylactically effective dose of a DNAzyme according to the first aspect.

Angioplastic stents, also known by other terms such as "intravascular stents" or simple "stents", are well known in the art. They are routinely used to prevent vascular closure due to physical anomalies such as unwanted inward growth of vascular tissue due to surgical trauma. They often have a

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tubular, expanding lattice-type structure appropriate for their function, and can optionally be biodegradable.

In this invention, the stent can be operably coated with the instant pharmaceutical composition using any suitable means known in the art. Here, "operably coating" a stent means coating it in a way that permits the timely release of the pharmaceutical composition into the surrounding tissue to be treated once the coated stent is administered. Such coating methods, for example, can use the polymer polypyrrole.

In a seventh aspect, the present invention provides a method for inhibiting the onset of restenosis in a subject undergoing angioplasty, which comprises topically administering a stent according to the fifth aspect to the subject at around the time of the angioplasty.

As used herein, administration "at around the time of angioplasty" can be performed during the procedure, or immediately before or after the procedure. The administering can be performed according to known methods such as catheter delivery.

In order that the nature of the present invention may be more clearly understood, preferred forms thereof will now be described with reference to the following non-limiting Figures and Examples.

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# Table 1

			Ta	apre 1		
5		Gap GapLength	Weight: 5.0 Weight: 0.3	00		npCheck: 6876
10	Name: ratl		4388 Che	ck: 8587 W	eight: 1.0	(SEQ ID NO:11) (SEQ ID NO:12) (SEQ ID NO:1)
15		S RAT NGFI-A				50
	mouseEgrl ratNGFIA humanEGR1	CCGCGGAGCC		GCGCCTGGCG	CCCTCCCTAC	GCGGGCGTCC
20	mouseEGRl ratEGRl humanEGRl	CCGACTCCCG	CGCGCGTTCA	GGCTCCGGGT	TGGGAACCAA	GGAGGGGGAG
25	mouseEGR1 ratEGR1 humanEGR1	GGTGGGTGCG	CCGACCCGGA	AACACCATAT	AAGGAGCAGG	AAGGATCCCC
30	mouseEGR1 ratEGR1 humanEGR1	CGCCGGAACA	GACCTTATTT	GGGCAGCGCC	TTATATGGAG	TGGCCCAATA
35	mouseEGR1 ratEGR1 humanEGR1	TGGCCCTGCC	GCTTCCGGCT	CTGGGAGGAG	GGGCGAACGG	GGGTTGGGGC
40	mouseEGR1 ratEGR1 humanEGR1	GGGGGCAAGC	TGGGAACTCC	AGGAGCCTAG	CCCGGGAGGC	CACTGCCGCT
45	mouseEGR1 ratEGR1 humanEGR1	GTTCCAATAC	TAGGCTTTCC	AGGAGCCTGA		TGCCGGAGCC
50	mouseEGR1 ratEGR1 humanEGR1	GGTCGCAGGG	TGGAAGCGCC	CACCGCTCTT	GGATGGGAGG	TCTTCACGTC
55	mouseEGR1 ratEGR1 humanEGR1	ACTCCGGGTC	CTCCCGGTCG	GTCCTTCCAT	ATTAGGGCTT	CCTGCTTCCC
60		451				500

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	mouseEGR1 ratEGR1 humanEGR1	ATATATGGCC	ATGTACGTCA	CGGCGGAGGC	GGGCCCGTGC	TGTTTCAGAC
5		501				550
	mouseEGR1 ratEGR1 humanEGR1	CCTTGAAATA	GAGGCCGATT	CGGGGAGTCG	CGAGAGATCC	CAGCGCGCAG
10	mouseEGRl ratEGRl		GCCGCCGCCG			
	humanEGR1		GCCGCCGCCG			
15	mouseEGR1 ratEGR1 humanEGR1	CGCAAGATCG	GCCCCTGCCC GCCCCTGCCC	CAGCCTCCGC	GGCAGCCCTG	CGTCCACCAC
20	mouseEGR1 ratEGR1 humanEGR1		TACCGCCAGC CACCGCCAGC CAGGGCGAGT		ACCTACACTC	700 CCCGCAGTGT CCCGCAGTGT TTCTCAGTGT
25	mouseEGRl ratEGR1 humanEGR1	GCCCCTGCAC	CCCGCATGTA CCCGCATGTA CCCGCATGTA	ACCCGGCCAA	CATCCGGCGA	GTGTGCCCTC
30	mouseEGR1 ratEGR1 humanEGR1	AGTAGCTTCG	GCCCCGGGCT GCCCCGGGCT GCCCCGGGCT	GCGCCCACC.	. ACCCAACAT . ACCCAACAT GCCCCGACAC	CAGCTCTCCA
35	mouseEGR1 ratEGR1 humanEGR1		CCGGGATGGC CCGGGATGGC CCAGGATGGC	AGCGGCCAAG		AATTGATGTC
40		ED5 (rat) a hED5 (hum) a				
45	mouseEGR1 ratEGR1 humanEGR1	TCCGCTGCAG	ATCTCTGACC ATCTCTGACC ATCTCTGACC	CGTTCGGCTC	CTTTCCTCAC	TCACCCACCA
50	mouseEGR1 ratEGR1 humanEGR1	TGGACAACTA	CCCCAAACTG CCCCAAACTG CCCTAAGCTG	GAGGAGATGA	TGCTGCTGAG	CAACGGGGCT
55	mouseEGR1 ratEGR1 humanEGR1	CCCCAGTTCC	TCGGTGCTGC TCGGTGCTGC TCGGCGCCGC	CGGAACCCCA	GAGGGCAGCG	GCGGCAATAA
60	mouseEGRl ratEGRl		AGCAGCAGCA AGCAGCAGCA			

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	humanEGR1	CAGCAGCAGC	AGCAGCGGGG	GCGGTGGAGG	CGGCGGGGC	GGCAGCAACA
5	mouseEGR1 ratEGR1 humanEGR1		CAGCAGCGCC CAGCAGCGCT CAGCAGCACC	TTCAATCCTC	AAGGGGAGCC	GAGCGAACAA
10	mouseEGR1 ratEGR1 humanEGR1	CCCTACGAGC	ACCTGACCAC ACCTGACCAC ACCTGACCGC	AGGTAAGCGG	TEGTCTGCGC	CGAGGCTGAA
15	mouseEGR1 ratEGR1 humanEGR1	TCCCCCTTCG	GCGATGGTGG TGACTACCCT GTGCTGGTGG	AACGTCCAGT	CCTTTGCAGC	ACGGACCTGC
20	mouseEGR1 ratEGR1 humanEGR1	ATCTAGATCT	CACCTATACT TAGGGACGGG CACCTATACT	ATTGGGATTT	CCCTCTATTC	CACACAGC
25	mouseEGRi ratEGRl humanEGRl	TCCAGGGACT	CTTTGTGGCC TGTGTTAGAG CCTTGTGGCC	GGATGTCTGG	GGACCCCCCA	ACCCTCCATC
30	mouseEGR1 ratEGR1 humanEGR1	CTTGCGGGTG-	ACCAATCCTC CGCGGAGGGC ACCAACCCAC	AGACCGTTTG	TTTTGGATGG	AGAACTCAAG
<b>3</b> 5	mouseEGR1 ratEGR1 humanEGR1	TTGCGTGGGT	GTCTTCCTCT GGCT CGCCTCC	GGAGT	GGGGGAGGGT	1400 GAGCTGTGCC TTGTTTTGAT GAGCTGCGCA
<b>4</b> 0	mouseEGR1 ratEGR1 humanEGR1	GAGCAGGGTT	ACGACAGCAG GCCCCC ACGACAGCAG	TCCCCCGCGC	GCGTTGTCGC	GAGCCTTGTT
45	mouseEGR1 ratEGR1 humanEGR1	TGCAGCTTGT	ACTGACATTT TCCCAAGGAA ACTGACATTT	GGGCTGAAAT	CTGTCACCAG	GGATGTCCCG
50	mouseEGR1 ratEGR1 humanEGR1	CCGCCCAGGG	CACAGCCTTG TAGGGGCGCG GACAGCGCTC	CATTAGCTGT	GGCC.ACTAG	GGTGCTGGCG
55	mouseEGR1 ratEGR1 humanEGR1	GGATTCCCTC	ACCCCGGACG	CCTGCTGCGG	AGCGCTCTCA	1600 TTCCACAACA GAGCTGCAGT TTCCACAGCA
60	mouseEGR1 ratEGR1					1650 TTCCAGGGTC CTGC

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	humanEGR1	GCAGGGGGAT	CTGGGCCTGG	GCACCCCAGA	CCAGAAGCCC	TTCCAGGGCC
. 5	mouseEGR1 ratEGR1 humanEGR1	CACTGGAGCA	TACCCAGCAG GGTCCAGGAA CACCCAGCAG	CATTGCAATC	TGCTGCTATC	
10	mouseEGR1 ratEGR1 humanEGR1	CACATCGAGA	CTCAGTCGGG GTCAGTGGTA CTCAGTCGGG	GCCGGGCGAC	CTCTTGCCTG	1750 GCTCTTA GCCGCTTCGG GCCCTCA
15	mouseEGR1 ratEGR1 humanEGR1	CTCTCATCGT	CCAATCCCAG CCAGTGATTG CCAGTCCCAG	CTCTCCAGTA	ACCAGGCCTC	TCTGTTCTCT
20	mouseEGR1 ratEGR1 humanEGR1	TTCCTGCCAG	GCCCAGCAAG AGTCCTTTTC GCCCAGCAAG	TGACATCGCT	CTGAATAACG	AGAAGGCG
25	mouseEGR1 ratEGR1 humanEGR1	CTGGTGGAGA	CCTGCGATCG CAAGTTATCC CCTGTGATCG	CAGCCAAACT	ACCCGGTTGC	CTCCCATCAC
30	mouseEGR1 ·ratEGR1 humanEGR1	CTATACTGGC	ATCCACACAG CGCTTCTCCC ATCCACACAG	TGGAGCCTGC	ACCCAACAGT	1950 CGAATCTGCA GGCAACACTT CGCATCTGCA
35	mcuseEGRl ratEGRl humanEGRl	1951 TGCGTAACTT TGTGGCCTGA TGCGCAACTT	CAGTCGTAGT ACCCCTTTTC CAGCCGCAGC	GACCACCTTA AGCCTAGTCA GACCACCTCA	GTGGCCTTGT	2000 CCGCACCCAC GAGCATGACC CCGCACCCAC
40	mouseEGR1 ratEGR1 humanEGR1	AACCCTCCAA	AGCCTTTTGC CCTCTTCATC AGCCCTTCGC	CTCAGCGCCT	TCTCCAGCTG	CTTCATCGTC
45	mouseEGR1 ratEGR1 humanEGR1	TTCCTCTGCC	CGCAAGAGGC TCCCAGAGCC CGCAAGAGGC	CACCCCTGAG	CTGTGCCGTG	CCGTCCAACG
50	mouseEGR1 ratEGR1 humanEGR1	ACAGCAGTCC	CAAAAGTGTG CATTTACTCA CAAAAGTGTT	GCTGCACCCA	CCTTTCCTAC	TCCCAACACT
<b>5</b> 5	mouseEGRl ratEGRl humanEGRl	2151  TCCTACCCGT		CTCTTCTTAC GACATTTTTC TACCTCTTAC	CTGAGCCCCA	AAGCCAGGCC
60	mouseEGR1 ratEGR1		CCTGCCACCA CTGCAGGCAC			

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	humanEGR1	TTATCCATCC	CCGGCCACCA	CCTCATACCC	ATCCCCTGTG	CCCACCTCCT
5	mouseEGR1 ratEGR1 humanEGR1	TGCCACCAAG	TGGCTCCTCC GGTGGTTTCC CGGCTCCTCG	AGGTTCCCAT	GATCCCTGAC	TATCTGTTTC
10	mouseEGR1 ratEGRI humanEGR1	CACAACAACA	CAGTGGCCAC GGGAGACCTG CGGTGGCCAC	AGCCTGGGCA	CCCCAGACCA	
15	mouseEGR1 ratEGR1 humanEGR1		TTTCCCCACC AGAACCGTAC TTTCCCGGCC	CCAGCAGCCT	TCGCTCACTC	CACTATCCAC
20	mouseEGR1 ratEGR1 humanEGR1		TCAGCACCTC TTCGCCACTC TCAGCGCCTC	AGTCGGGCTC	CCAGGACTTA	AAGGCTCTTA
25	mouseEGR1 ratEGR1 humanEGR1	ATAACACCTA	ACAATTGAAA CCAGTCCCAA ACAATTGAAA	CTCATCAAAC	CCAGCCGCAT	GCGCAAGT
30	mouseEGR1 ratEGR1 humanEGR1	.ACCCCAACC	GGAGAGGCAG GGCCCAGCAA AAAGAAACAC	GACACCCCC	CATGAACGCC	CGTATGCTTG
35	mouseEGR1 ratEGR1 humanEGR1	CCCTGTTGAG	AAGAGGGGCC TCCTGCGATC AGGAGGGTT.	GCCGCTTTTC		2600 GATCTCAGAG GAGCTTACAC GTTCTCAGAG
40	mouseEGR1 ratEGR1 humanEGR1	GCCACATCCG	CTACTCACGA CATCCATACA CCTCTCTACT	GGCCAGAA	GCCCTTCCAG	TGTCGAATCT
45	mouseEGRI ratEGR1 humanEGR1	GCATGCGTAA	TCCCTGCCTC TTTCAGTCGT TTCCCCTTCC	AGTGACCACC	TTACCACCCA	CATCCGCACC
50	mouseEGR1 ratEGR1 humanEGR1	CACACAGG	CATGTCCAAG CGAGAAGCCT CATGTCCAAG	TTTGCCTGTG	ACATTTGTGG	GAGAAAGTTT
55	mouseEGR1 ratEGR1 humanEGR1	GCCAGGAGTG	TATTGGAT ATGAACGCAA TTTTGGAT	GAGGCA:TACC	AAAATCCACT	
60	mouseEGR1 ratEGR1	2801 CCATC GGACAAGAAA	ACATGCCTGG GCAGACAAAA			

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	humanEGR1	CCATCA	TATGCCTGAC	CCCTTGCTCC	CTTCAATGCT	AGAAAATCGA
5	mouseEGRl ratEGRl humanEGR1		AGAAAAAAA CCCATCCCCA	GTGGCTACCT		CCCCGCCACC
10	mouseEGR1 ratEGR1 humanEGR1	ACCTCATTTC	CAGCATCTGT CATCCCCAGT CAGTGTCTGT	GCCCACCTCT	TTGTTTTCCT TACTCCTCTC TCGTTTTTCT	2950 TGGGGTATTC CGGGCTCCTC TGGGGTACTC
15	mouseEGR1 ratEGR1 humanEGR1	TACCTACCCG	GATAATTTGC TCTCCTGCAC GATAATTTGC	ACAGTGGCTT	.CTATTGTAT CCCATCGCCC .CTATTGTAT	3000 TATTTGGAGT TCGGTGGCCA TATTTGGAGT
20	mouseEGR1 ratEGR1 humanEGR1	CCACCTATGC	CTTTGGGG CTCCGTCC CTTGGGGGAA	CACCTGCTTT		GTCAGCACCT
<b>2</b> 5	mouseEGR1 ratEGR1 humanEGR1		ATTTTGTGAT AGGGGTCAGC ATTTTGTGAT	AACTCCTTCA	GCACCTCAAC	3100  GGGTCTTTCA
30	mouseEGR1 ratEGR1	GACATGACAG	CATTTTTTT CAACCTTTTC ACCTTTTTT	TCCTAGGACA		3150 ATTAACTGGA GCTAAAGGGA TATTCTCA
35	mouseEGR1 ratEGR1 humanEGR1	3151 GCATGTGT ATGAAAGAGA GAGCATGTGT	CAGAGTGTTG GCAAAGGGAG CAGAGTGTTG		TTTGTAAATA GAGACAATAA CTTTTTGTAA	AGGACAGGAG
40	mouseEGR1 ratEGR1 humanEGR1	.GGAAGAAAT	CACATGTGAC GGCCCGCAAG CACATGTGGC	AGGGGCTGCC	TCTTAGGTCA	GATGGAAGAT
45	mouseEGRl ratEGRl humanEGRl	CTCAGAGCCA	TTTTTGCCCG AGTCCTTCTA TTTTTCTTCG	GTCAGTAGAA	GGCCCGTTGG	CCACCAGCCC
50	mouseEGR1 ratEGR1 humanEGR1	TTTCACTTAG	TGTGACACGC CGTCCCTGCC TGTGATGCCC	CTC.CCCAGT	CCCGGTCCTT	TTGACTTCAG
55	mouseEGR1 ratEGR1 humanEGR1	CTGCCTGAAA	GGGACACGCT CAGCCACGTC GGGACATGCT	CAAGTTCTTC	ACCTCTA	TCCAAAGGAC
60	mouseEGR1 ratEGR1		GGAGGCTTGA TGGTATTGGA			

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	humanEGR1	ATGATTTGGG	GGAGGCTTTG	GGAGCAAAAT	AAGGAAGAGG	GCTGAGCTGA
5	mouseEGR1 ratEGR1 humanEGR1	3451 GCTTTCGGTC GCCTGGCCCT GCTTCGGTTC	TGCTCCCTTC	AGCACTAGAA	AATTTAAACA CATCAAGTTG AATCTAAAAC	GCTGAAAAA
10	mouseEGR1 ratEGR1 humanEGR1	3501 CTCTCAAAAG AAAATGGGTC CTCTCAAAAG		TAAACTGAAA GAACCCTGCC TAA.CTGAAA	CTGTATCTTT	3550 ATACATCTAT GTACA ATAAATATAT
15	mouseEGR1 ratEGR1 humanEGR1	GCATCTGTGC	GAGTGTTGTG CATGGATTTT GAATGTTGTA	GTTTTCCTTG	GAGTAGGCTG GGGTATTCTT GAGTAGGCGG	3600 CAGTTTTTGT GATGTGAAGA CGATTTTTGT
20	mouseEGR1 ratEGR1 humanEGR1	TAATTTGCAT	CATGAAGTTC ACTCTATTGT CATGCAGTTC	ACTATTTGGA		3650 TACTTTGTAC CACTTTGGGG TACTTTGTAC
25	mouseEGR1 ratEGR1 numanEGR1	GAGGGGGAGC	TTAAACAAAG AAAGCCAAGC TTAAACAAAG	AAACCAATGG	TGATCCTCTA	3700 CACATTGAAT TTTTGTGATG CACATTGAAT
30	mouseEGR1 ratEGR1 humanEGR1	3701 GCGCTCTATT ATCCTGCTGT GCGCTTTATT	GCCCATGG GACATTAGGT GCCCATGG	GATATGTG TTGAAACTTT GATATGTG	GTGTGTATCC TTTTTTTTT GTGTATATCC	TGAAGCAGCA
35	mouseEGR1 ratEGR1 humanEGR1		AAAT TTAACTGGAG AATAAAGTAG		AGTGTTGTTC	3800 CGTTAATTTT
40	mouseEGR1 ratEGR1 humanEGR1	GTAAATACTG	CTCGACTGTA	ACTCTCACAT		ACGGTTTGTT
45	mouseEGR1 ratEGR1 humanEGR1	TGGTTGGGTT	TTTTGTTGTT	TTTGAAAAAA		TTTGCCCGTC
50	mouseEGR1 ratEGR1 humanEGR1	CCTTTGGTTT	CAAAAGTTTC	ACGTCTTGGT	GCCTTTGTGT	GACACACCTT
55	mouseEGR1 ratEGR1 humanEGR1	GCCGATGGCT		AATCGTGAGG	GGACACGCTC	4000 ACCTCTAGCC
60	mouseEGR1 ratEGR1	4001 TTAAGGGGGT				4050  CACGATGAGG

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	humanEGR1	• • • • • • • • • • • • • • • • • • • •				
5	mouseEGR1 ratEGR1 humanEGR1	4051 AAGAGGGCTG	AGCTGAGCTT	TGGTTCTCCA	GAATGTAAGA	4100  AGAAAAATTT
10	mouseEGR1 ratEGR1 humanEGR1	4101 AAAACAAAAA	TCTGAACTCT	CAAAAGTCTA	TTTTTTTAAC	
15	mouseEGR1 ratEGR1 humanEGR1	4151 GATTTATCCA	TGTTCGGGAG	TTGGAATGCT	GCGGTTACCT	ACTGAGTAGG
20	mouseEGR1 ratEGR1 humanEGR1	4201 CGGTGACTTT	TGTATGCTAT		TTCATTATTT	4250 TGTGGTTTTA
25	mouseEGR1 ratEGR1 humanEGR1	4251  TTTTACTTCG	TACTTGTGTT	TGCTTAAACA	AAGTGACTTG	
30	mouseEGR1 ratEGR1 humanEGR1	4301  AAACACATTG	AATGCGCTTT	ACTGCCCATG		
35	mouseEGR1 ratEGR1 humanEGR1		TAAAAGGAAA	ATAAAGAAAC		

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# Example 1 Characterisation of DNAzymes ED5 and hED5

# Materials and Methods

ODN synthesis. DNAzymes were synthesized commercially (Oligos Etc., Inc.) with an inverted T at the 3' position unless otherwise indicated. Substrates in cleavage reactions were synthesized with no such modification. Where indicated ODNs were 5'-end labeled with  $\gamma^{32}$ P-dATP and T4 polynucleotide kinase (New England Biolabs). Unincorporated label was separated from radiolabeled species by centrifugation on Chromaspin-10 columns (Clontech).

In vitro transcript and cleavage experiments. A <sup>32</sup>P-labelled 206 nt NGFI-A RNA transcript was prepared by in vitro transcription (T3 polymerase) of plasmid construct pJDM8 (as described in Milbrandt, 1987, the entire contents of which are incorporated herein by reference) previously cut with Bgl II. Reactions were performed in a total volume of 20 µl containing 10 mM MgCl<sub>2</sub>, 5 mM Tris pH 7.5, 150 mM NaCl, 4.8 pmol of in vitro transcribed or synthetic RNA substrate and 60 pmol DNAzyme (1:12.5 substrate to DNAzyme ratio), unless otherwise indicated. Reactions were allowed to proceed at 37 °C for the times indicated and quenched by transferring an aliquot to tubes containing formamide loading buffer (Sambrook et al. 1989). Samples were run on 12% denaturing polyacrylamide gels and autoradiographed overnight at -80 °C.

Culture conditions and DNAzyme transfection. Primary rat aortic SMCs were obtained from Cell Applications, Inc., and grown in Waymouth's medium, pH 7.4, containing 10% fetal bovine serum (FBS),  $50 \mu g/ml$  streptomycin and 50 IU/ml penicillin at  $37 \,^{\circ}\text{C}$  in a humidified atmosphere of  $5\% \, \text{CO}_2$ . SMCs were used in experiments between passages 3-7. Pup rat SMCs (WKY12-22 (as described in Lemire et al, 1994, the entire contents of which are incorporated herein by reference)) were grown under similar conditions. Subconfluent (60-70%) SMCs were incubated in serum-free medium (SFM) for 6 h prior to DNAzyme (or antisense ODN, where indicated) transfection (0.1  $\mu$ M) using Superfect in accordance with manufacturer's instructions (Qiagen). After 18 h, the cells were washed with phosphate-buffered saline (PBS). pH 7.4 prior to transfection a second time in  $5\% \, \text{FBS}$ .

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Northern blot analysis. Total RNA was isolated using the TRIzol reagent (Life Technologies) and 25  $\mu g$  was resolved by electrophoresis prior to transfer to Hybond-N+ membranes (NEN-DuPont). Prehybridization, hybridization with  $\alpha^{32}$ P-dCTP-labeled Egr-1 or  $\beta$ -Actin cDNA, and washing was performed essentially as previously described (Khachigian et al, 1995).

Western blot analysis. Growth-quiescent SMCs in 100 mm plates (Nunc-InterMed) were transfected with ED5 or ED5SCR as above, and incubated with 5% FBS for 1 h. The cells were washed in cold PBS, pH 7.4, and extracted in 150 mM NaCl, 50 mM Tris-HCl, pH 7.5, 1% sodium deoxycholate, 0.1% SDS, 1% Triton X-100, 5 mM EDTA, 1% trasylol, 10 μg/ml leupeptin, 1% aprotinin and 2 mM PMSF. Twenty four μg protein samples were loaded onto 10% denaturing SDS-polyacrylamide gels and electroblotted onto PVDF nylon membranes (NEN-DuPont). Membranes were air dried prior to blocking with non-fat skim milk powder in PBS containing 0.05% (w:v) Tween 20. Membranes were incubated with rabbit antibodies to Egr-1 or Sp1 (Santa Cruz Biotechnology, Inc.) (1:1000) then with HRP-linked mouse anti-rabbit Ig secondary antiserum (1:2000). Where mouse monoclonal c-Fos (Santa Cruz Biotechnology, Inc.) was used, detection was achieved with HRP-linked rabbit anti-mouse Ig. Proteins were visualized by chemiluminescent detection (NEN-DuPont).

Assays of cell proliferation. Growth-quiescent SMCs in 96-well titer plates (Nunc-InterMed) were transfected with ED5 or ED5SCR as above, then exposed to 5% FBS at 37 °C for 72 h. The cells were rinsed with PBS, pH 7.4, trypsinized and the suspension was quantitated using an automated Coulter counter.

Assessment of DNAzyme stability. DNAzymes were 5'-end labeled with  $\gamma^{32}$ P-dATP and separated from free label by centrifugation. Radiolabeled DNAzymes were incubated in 5% FBS or serum-free medium at 37 °C for the times indicated. Aliquots of the reaction were quenched by transfer to tubes containing formamide loading buffer (Sambrook et al, 1989). Samples were applied to 12% denaturing polyacrylamide gels and autoradiographed overnight at -80 °C.

SMC wounding assay. Confluent growth-quiescent SMCs in chamber slides (Nunc-InterMed) were exposed to ED5 or ED5SCR for 18 h prior to a single scrape with a sterile toothpick. Cells were treated with mitomycin C (Sigma) (20  $\mu$ M) for 2 h prior to injury (Pitsch et al, 1996; Horodyski &

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Powell, 1996). Seventy-two h after injury, the cells were washed with PBS, pH 7.4, fixed with formaldehyde then stained with hematoxylin-eosin.

Rat arterial ligation model and analysis. Adult male Sprague Dawley rats weighing 300-350 g were anaesthetised using ketamine (60 mg/kg, i.p.) and xylazine (8 mg/kg, i.p.). The right common carotid artery was exposed up to the carotid bifurcation via a midline neck incision. Size 6/0 nonabsorbable suture was tied around the common carotid proximal to the bifurcation, ensuring cessation of blood flow distally. A 200  $\mu$ l solution at  $4^{\circ}\text{C}$  containing 500  $\mu\text{g}$  of DNAzyme (in DEPC-treated  $H_2\text{O}$ ), 30  $\mu\text{l}$  of transfecting agent and Pluronic gel P127 (BASF) was applied around the vessel in each group of 5 rats, extending proximally from the ligature for 12-15 mm. These agents did not inhibit the solidification of the gel at 37 °C. After 3 days, vehicle with or without 500  $\mu g$  of DNAzyme was administered a second time. Animals were sacrificed 18 days after ligation by lethal injection of phenobarbitone, and perfusion fixed using 10% (v:v) formaldehyde perfused at 120 mm Hg. Both carotids were then dissected free and placed in 10% formaldehyde, cut in 2 mm lengths and embedded in 3%(w:v) agarose prior to fixation in paraffin. Five  $\mu m$  sections were prepared at  $250~\mu\mathrm{m}$  intervals along the vessel from the point of ligation and stained with hematoxylin and eosin. The neointimal and medial areas of 5 consecutive sections per rat were determined digitally using a customized software package (Magellan) (Halasz & Martin, 1984) and expressed as a mean ratio per group of 5 rats.

#### Results and Discussion

The 7x7 nt arms flanking the 15 nt DNAzyme catalytic domain in the original DNAzyme design 7 were extended by 2 nts per arm for improved specificity (L.-Q. Sun, data not shown) (Figure 1). The 3' terminus of the molecule was capped with an inverted 3'-3'-linked thymidine (T) to confer resistance to 3'->5' exonuclease digestion. The sequence in both arms of ED5 was scrambled (SCR) without altering the catalytic domain to produce DNAzyme ED5SCR (Figure 1).

A synthetic RNA substrate comprised of 23 nts, matching nts 805 to 827 of NGFI-A mRNA (Figure 1) was used to determine whether ED5 had the capacity to cleave target RNA. ED5 cleaved the <sup>32</sup>P-5'-end labeled 23-mer within 10 min. The 12-mer product corresponds to the length between the

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A(816)-U(817) junction and the 5' end of the substrate (Figure 1). In contrast, ED5SCR had no demonstrable effect on this synthetic substrate. Specific ED5 catalysis was further demonstrated by the inability of the human equivalent of this DNAzyme (hED5) to cleave the rat substrate over a wide range of stoichiometric ratios. Similar results were obtained using ED5SCR (data not shown): hED5 differs from the rat ED5 sequence by 3 of 18 nts in its hybridizing arms (Table 2). The catalytic effect of ED5 on a <sup>32</sup>P-labeled 206 nt fragment of native NGFI-A mRNA prepared by in vitro transcription was then determined. The cleavage reaction produced two radiolabeled species of 163 and 43 nt length consistent with DNAzyme cleavage at the A(816)-U(817) junction. In other experiments, ED5 also cleaved a <sup>32</sup>P-labeled NGFI-A transcript of 1960 nt length in a specific and time-dependent manner (data not shown).

Table 2. DNAzyme target sites in mRNA.

Similarity between the 18 nt arms of ED5 or hED5 and the mRNA of rat

NGFI-A or human EGR-1 (among other transcription factors) is expressed as a
percentage. The target sequence of ED5 in NGFI-A mRNA is 5'-807-A

CGU CCG GGA UGG CAG CGG-825-3' (SEQ ID NO: 13) (rat NGFI-A
sequence), and that of hED5 in EGR-1 is 5'-262-U CGU CCA GGA UGG CCG

CGG-280-3' (SEQ ID NO: 14) (Human EGR-1 sequence). Nucleotides in bold
indicate mismatches between rat and human sequences. Data obtained by a
gap best fit search in ANGIS using sequences derived from Genbank and
EMBL. Rat sequences for Sp1 and c-Fos have not been reported.

Gene Accession number		Accession number	Best homology over 18 (%)	
			ED5	hED5
	Rat NGFI-A	M18416	100	84.2
20	Human EGR-1	X52541	84.2	100
	Murine Sp1	AF022363	66.7	66.7
	Human c-Fos	K00650	66.7	66.7
	Murine c-Fos	X06769	61.1	66.7
	Human Sp1	AF044026	38.9	28.9
or				

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To determine the effect of the DNAzymes on endogenous levels of NGFI-A mRNA, growth-quiescent SMCs were exposed to ED5 prior to stimulation with serum. Northern blot and densitometric analysis revealed that ED5 (0.1 μM) inhibited serum-inducible steady-state NGFI-A mRNA levels by 55% (Figure 2a), whereas ED5SCR had no effect (Figure 2a). The capacity of ED5 to inhibit NGFI-A synthesis at the level of protein was assessed by Western blot analysis. Serum-induction of NGFI-A protein was suppressed by ED5. In contrast, neither ED5SCR nor EDC, a DNAzyme bearing an identical catalytic domain as ED5 and ED5SCR but flanked by nonsense arms had any influence on the induction of NGFI-A (data not

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shown). ED5 failed to affect levels of the constitutively expressed, structurally -related zinc-finger protein, Sp1. It was also unable to block serum-induction of the immediate-early gene product, c-Fos whose induction, like NGFI-A, is dependent upon serum response elements in its promoter and phosphorylation mediated by extracellular-signal regulated kinase (Treisman, 1990, 1994 and 1995; Gashler & Sukhatme, 1995). These findings, taken together, demonstrate the capacity of ED5 to inhibit production of NGFI-A mRNA and protein in a gene-specific and sequence-specific manner, consistent with the lack of significant homology between its target site in NGFI-A mRNA and other mRNA (Table 2).

The effect of ED5 on SMC replication was then determined. Growth-quiescent SMCs were incubated with DNAzyme prior to exposure to serum and the assessment of cell numbers after 3 days. ED5 (0.1  $\mu$ M) inhibited SMC proliferation stimulated by serum by 70% (Figure 3a). In contrast, ED5SCR failed to influence SMC growth (Figure 3a). AS2, an antisense NGFI-A ODN able to inhibit SMC growth at 1  $\mu$ M failed to inhibit proliferation at the lower concentration (Figure 3a). Additional experiments revealed that ED5 also blocked serum-inducible <sup>3</sup>H-thymidine incorporation into DNA (data not shown). ED5 inhibition was not a consequence of cell death since no change in morphology was observed, and the proportion of cells incorporating Trypan Blue in the presence of serum was not influenced by either DNAzyme (Figure 3b).

Cultured SMCs derived from the aortae of 2 week-old rats (WKY12-22) are morphologically and phenotypically similar to SMCs derived from the neointima of balloon-injured rat arteries (Seifert et al, 1984; Majesky et al, 1992). The epitheloid appearance of both WKY12-22 cells and neointimal cells contrasts with the elongated, bipolar nature of SMCs derived from normal quiescent media (Majesky et al, 1988). WKY12-22 cells grow more rapidly than medial SMCs and overexpress a large number of growth-regulatory molecules (Lemire et al, 1994), such as NGFI-A (Rafty & Khachigian, 1998), consistent with a "synthetic" phenotype (Majesky et al, 1992; Campbell & Campbell, 1985). ED5 attenuated serum-inducible WKY12-22 proliferation by approximately 75% (Figure 3c). ED5SCR had no inhibitory effect; surprisingly, it appeared to stimulate growth (Figure 3c). Trypan Blue exclusion revealed that DNAzyme inhibition was not a consequence of cytotoxicity (data not shown).

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To ensure that differences in the biological effects of ED5 and ED5SCR were not the consequence of dissimilar intracellular localization, both DNAzymes were 5'-end labeled with fluorescein isothiocyanate (FITC) and incubated with SMCs. Fluorescence microscopy revealed that both FITC-ED5 and FITC-ED5SCR localized mainly within the nuclei. Punctate fluorescence in this cellular compartment was independent of DNAzyme sequence. Fluorescence was also observed in the cytoplasm, albeit with less intensity. Cultures not exposed to DNAzyme showed no evidence of autofluorescence.

Both molecules were 5'-end labeled with  $\gamma^{32}$ P-dATP and incubated in culture medium to ascertain whether cellular responsiveness to ED5 and ED5SCR was a consequence of differences in DNAzyme stability. Both  $^{32}$ P-ED5 and  $^{32}$ P-ED5SCR remained intact even after 48 h. In contrast to  $^{32}$ P-ED5 bearing the 3' inverted T, degradation of  $^{32}$ P-ED5 bearing its 3' T in the correct orientation was observed as early as 1 h. Exposure to serum-free medium did not result in degradation of the molecule even after 48 h. These findings indicate that inverse orientation of the 3' base in the DNAzyme protects the molecule from nucleolytic cleavage by components in serum.

Physical trauma imparted to SMCs in culture results in outward migration from the wound edge and proliferation in the denuded zone. We determined whether ED5 could modulate this response to injury by exposing growth-quiescent SMCs to either DNazyme and Mitomycin C, an inhibitor of proliferation (Pitsch et al, 1996; Horodyski & Powell, 1996) prior to scraping. Cultures in which DNAzyme was absent repopulated the entire denuded zone within 3 days. ED5 inhibited this reparative response to injury and prevented additional growth in this area even after 6 days (data not shown). That ED5SCR had no effect in this system further demonstrates sequence-specific inhibition by ED5.

The effect of ED5 on neointima formation was investigated in a rat model. Complete ligation of the right common carotid artery proximal to the bifurcation results in migration of SMCs from the media to the intima where proliferation eventually leads to the formation of a neointima (Kumar & Lindner, 1997; Bhawan et al., 1977; Buck, 1961). Intimal thickening 18 days after ligation was inhibited 50% by ED5 (Figure 4). In contrast, neither its scrambled counterpart (Figure 4) nor the vehicle control (Figure 4) had any effect on neointima formation. These findings demonstrate the capacity of

ED5 to suppress SMC accumulation in the vascular lumen in a specific manner, and argue against inhibition as a mere consequence of a "mass effect" (Kitze et al, 1998; Tharlow et al, 1996).

Further experiments revealed the capacity of hED5 to cleave (human) EGR-1 RNA. hED5 cleaved its substrate in a dose-dependent manner over a wide range of stoichiometric ratios. hED5 also cleaved in a time-dependent manner, whereas hED5SCR, its scrambled counterpart, had no such catalytic property (data not shown).

The specific, growth-inhibitory properties of ED5 reported herein suggest that DNAzymes may be useful as therapeutic tools in the treatment of vascular disorders involving inappropriate SMC growth.

# Example 2

# Cleavage of human EGR-1 RNA by panel of candidate DNAzymes

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To evaluate which specific DNAzymes targeting human EGR-1 (other than hED5) efficiently cleave EGR-1 RNA, we prepared *in vitro* transcribed 35S-labeled EGR-1 RNA and incubated this substrate with candidate DNAzymes for various times. The EGR-1 plasmid template (hs164) was prepared by subcloning bps 168-332 of human EGR-1 into pGEM-T-easy. A 388 nt 35S-labeled substrate was prepared by *in vitro* transcription using SP6 polymerase. Time-dependent cleavage of the substrate was tested using the following DNZzymes:

DzA: 5'-CAGGGGACAGGCTAGCTACAACGACGTTGCGGG-X-3' (SEQ ID NO: 15);

DzB: 5'-TGCAGGGGAGGCTAGCTACAACGAACCGTTGCG-X-3' (SEQ ID NO: 16);

DzC: 5'-CATCCTGGAGGCTAGCTACAACGAGAGCAGGCT-X-3' (SEQ ID NO:

30 17);
DzE: 5'-TCAGCTGCAGGCTAGCTACAACGACTCGGCCTT-X-3' (SEQ ID NO:

18); and

DzF: 5'-GCGGGGACAGGCTAGCAACGACAGCTGCAT-X-3' (SEQ ID NO: 19)

where X denotes a 3'-3-linked T.

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The DNAzyme that cleaved most effectively of this group was DzA, then DzB, then DzC. In contrast, DzE was inactive.

# Example 3

# 5 Inhibition of induction of EGR-1 in human SMC by DzA

To determine whether DzA could block the induction of endogenous human EGR-1, we incubated growth-quiescent human aortic smooth muscle cells with 5% fetal bovine serum and observed the production of EGR-1 protein by Western blot analysis. This band representing the EGR-1 protein was blocked by 0.5  $\mu$ M DzA, delivered using FuGENE6 (Roche Molecular Biochemicals) and unaffected by DzE. The blot was then stripped and reprobed with antibodies to the transcription factor Spl. Results obtained showed that neither serum nor DzA affected induction of Sp1. A Coomassie Blue gel indicated that equal protein had been loaded.

The data demonstrate that DzA cleaves EGR-1 mRNA and blocks the induction of EGR-1 protein.

# Example 4

# 20 <u>Inhibition of human SMC proliferation by DzA</u>

To ascertain whether proliferation of human SMCs could be inhibited by DzA, a population of SMCs was quantitated with and without exposure to DzA or DzE. SMC proliferation stimulated by 5% fetal bovine serum was significantly inhibited by 0.5  $\mu$ M DzA (Figure 5). In contrast, neither DzE nor ED5SCR had any effect (Figure 5). These data demonstrate that DzA inhibits human SMC proliferation.

# Example 5

#### 30 <u>Inhibition of porcine SMC proliferation by DzA</u>

The porcine and human EGR-1 sequences are remarkably well conserved (91%). Porcine retinal SMCs were used to determine whether DzA could block the growth of porcine SMCs. Our studies indicate that DzA (0.5 µM) could inhibit the proliferation of these cells (Figure 6). In contrast, DzE had no effect (Figure 6).

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# Example 6 Delivery of DNAzyme into the porcine coronary artery wall

Porcine angioplasty and stenting are accepted models of human in-5 stent restenosis (Karas et al. 1992). The porcine coronary anatomy, dimensions and histological response to stenting are similar to the human (Muller et al. 1992). The Transport Catheter has previously been used to deliver antisense DNA targeting c-myc in humans (Serrys et al. 1998) and the pig (Gunn & Cumberland, 1996) via the intraluminal route. 10 Using this catheter, FITC-labeled DNAzyme was applied to the inner wall of a porcine coronary artery, ex vivo, from a newly explanted pig heart. DNAzyme (1000 µg) was delivered via the catheter in 2ml MilliQ H20 containing 300µl FuGENE6 and 1mM MgC1<sub>2</sub>. The FITC-labeled DNAzyme localised into the intimal cells of the vessel wall. These studies demonstrate 15 that DNAzyme can be delivered to cells within the artery wall via an intraluminal catheter.

Throughout this specification the word "comprise", or variations such as "comprises" or "comprising", will be understood to imply the inclusion of a stated element, integer or step, or group of elements, integers or steps, but not the exclusion of any other element, integer or step, or group of elements, integers or steps.

It will be appreciated by persons skilled in the art that numerous variations and/or modifications may be made to the invention as shown in the specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects as illustrative and not restrictive. In addition, various documents are cited throughout this application. The disclosures of these documents are hereby incorporated by reference into this application to describe more fully the state of the art to which this invention pertains.

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# Claims:

- 1. A DNAzyme which specifically cleaves EGR-1 mRNA, the DNAzyme comprising
  - (i) a catalytic domain which cleaves mRNA at a purine:pyrimidine cleavage site;
  - (ii) a first binding domain contiguous with the 5' end of the catalytic domain; and
- (iii) a second binding domain contiguous with the 3' end of the catalytic domain,

wherein the binding domains are sufficiently complementary to the two regions immediately flanking a purine:pyrimidine cleavage site within the region of EGR-1 mRNA corresponding to nucleotides 168 to 332 as shown in SEQ ID NO:1, such that the DNAzyme cleaves the EGR-1 mRNA.

- 2. A DNAzyme as claimed in claim 1 wherein each binding domain is nine or more nucleotides in length.
- 20 3. A DNAzyme as claimed in claim 1 or claim 2 in which the catalytic domain has the nucleotide sequence GGCTAGCTACAACGA (SEQ ID NO: 2).
  - 4. A DNAzyme as claimed in any one of claims 1 to 3 in which the cleavage site is selected from the group consisting of
    - (i) the GU site corresponding to nucleotides 198-199;
    - (ii) the GU site corresponding to nucleotides 200-201;
    - (iii) the GU site corresponding to nucleotides 264-265;
    - (iv) the AU site corresponding to nucleotides 271-272;
    - (v) the AU site corresponding to nucleotides 301-302;
    - (vi) the GU site corresponding to nucleotides 303-304; and
    - (vii) the AU site corresponding to nucleotides 316-317.
  - 5. A DNAzyme as claimed in claim 4 in which the cleavage site is the AU site corresponding to nucleotides 271-272.

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- 6. A DNAzyme as claimed in claim 1 which has a sequence selected from the group consisting of:
- 5 (i) 5'-caggggacaGGCTAGCTACAACGAcgttgcggg (SEQ ID NO: 3);
  - (ii) 5'-tgcaggggaGGCTAGCTACAACGAaccgttgcg (SEQ ID NO: 4);
  - (iii) 5'-catcctggaGGCTAGCTACAACGAgagcaggct (SEQ ID NO: 5);
  - (iv) 5'-ccgcggccaGGCTAGCTACAACGAcctggacga (SEQ ID NO: 6);
  - (v) 5'-ccgctgccaGGCTAGCTACAACGAcccggacgt (SEQ ID NO: 7);
- 10 (vi) 5'-gcggggacaGGCTAGCTACAACGAcagctgcat (SEQ ID NO: 8);
  - (vii) 5'-cagcggggaGGCTAGCTACAACGAatcagctgc (SEQ ID NO: 9); and
  - (viii) 5'-ggtcagagaGGCTAGCTACAACGActgcagcgg (SEQ ID NO: 10).
- 7. A DNAzyme as claimed in claim 6 which has the sequence: 5'-ccgcggccaGGCTAGCTACAACGAcctggacga (SEQ ID NO: 6).
  - 8. A DNAzyme as claimed in any one of claims 1 to 7, wherein the 3'-end nucleotide residue is inverted in the binding domain contiguous with the 3' end of the catalytic domain.
    - 9. A pharmaceutical composition comprising a DNAzyme according to any one of claims 1 to 8 and a pharmaceutically acceptable carrier.
- 25 10. A method of inhibiting EGR-1 activity in cells which comprises exposing the cells to a DNAzyme according to any one of claims 1 to 8.
- 11. A method of inhibiting proliferation or migration of cells in a subject which comprises administering to the subject a prophylactically effective dose of the pharmaceutical composition according to claim 9.
  - 12. A method of treating a condition associated with cell proliferation or migration in a subject which comprises administering to the subject a therapeutically effective dose of the pharmaceutical composition according to claim 9.

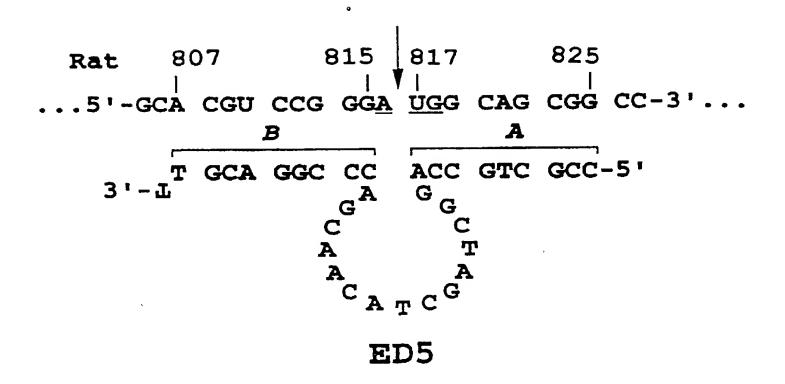
- 13. A method as claimed in any one of claims 10 to 12 wherein the cells are vascular cells.
- 5 14. A method as claimed in any one of claims 10 to 12 wherein the cells are cells involved in neoplasia.
  - 15. A method as claimed in claim 12 wherein the condition associated with cell proliferation or migration is selected from the group consisting of post-angioplasty restenosis, vein graft failure, hypertension, transplant coronary disease and complications associated with atherosclerosis or peripheral vascular disease.
  - 16. An angioplastic stent for inhibiting the onset of restenosis, which comprises an angioplastic stent operably coated with a prophylactically effective dose of a DNAzyme according to any one of claims 1 to 8.
  - 17. A method for inhibiting the onset of restenosis in a subject undergoing angioplasty, which comprises topically administering a prophylactically effective dose of a pharmaceutical composition according to claim 9 to the subject at around the time of the angioplasty.
    - 18. A method according to claim 17 in which the pharmaceutical composition is administered by catheter.
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19. A method for inhibiting the onset of restenosis in a subject undergoing angioplasty, which comprises topically administering a stent according to claim 15 to the subject at around the time of the angioplasty.

# **ABSTRACT**

The present invention relates to DNAzymes which are targeted against mRNA molecules encoding EGR-1 (also known as Egr-1 and NGFI-A). The present invention also relates to compositions including these DNAzymes and to methods of treatment involving administration of the DNAzymes.

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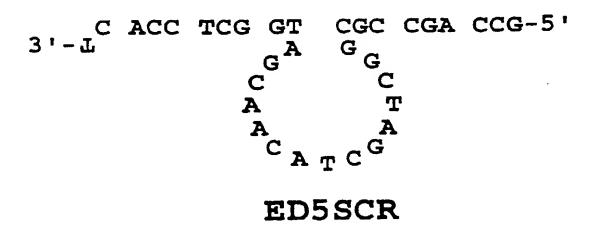
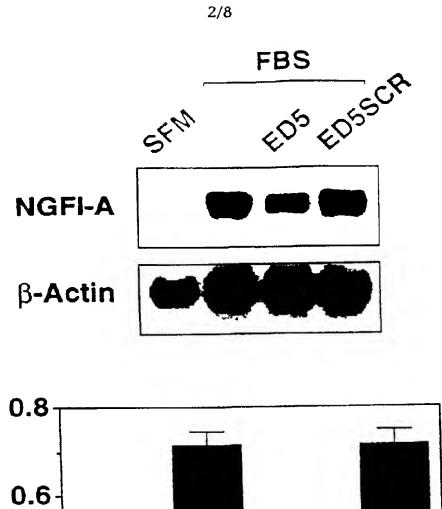


Figure 1

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NGFI-A / B-Actin NGFI-A / B-Actin NGFI-A / B-Actin NGFI-A / B-Sch

Figure 2

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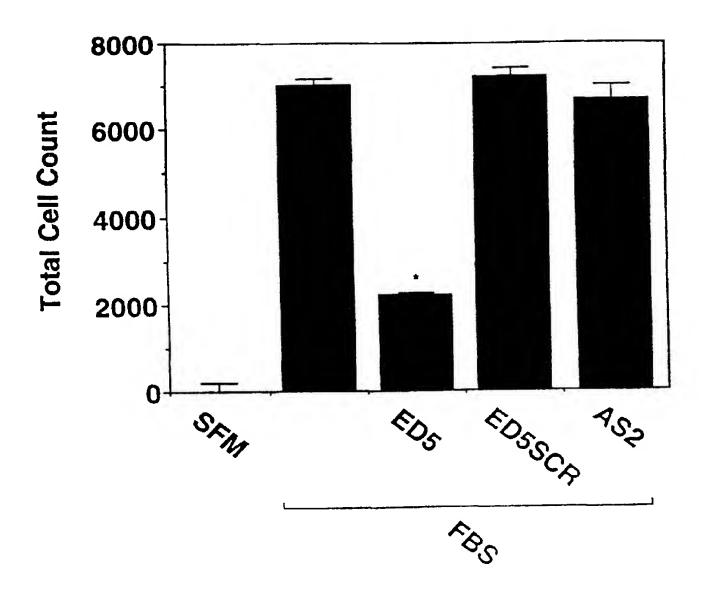


Figure 3A

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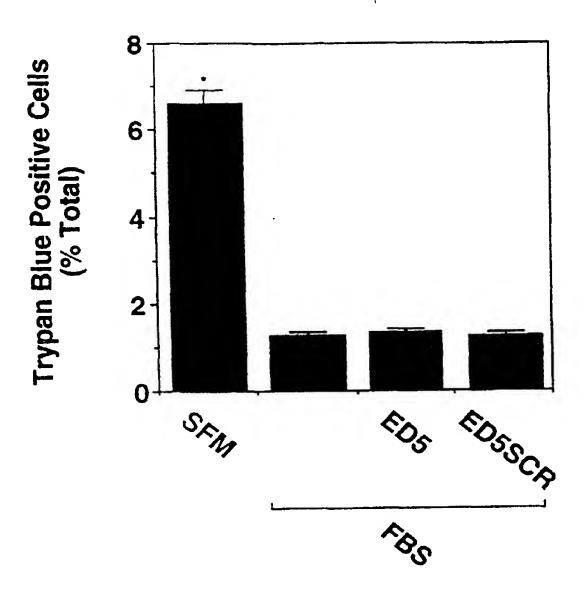


Figure 3B

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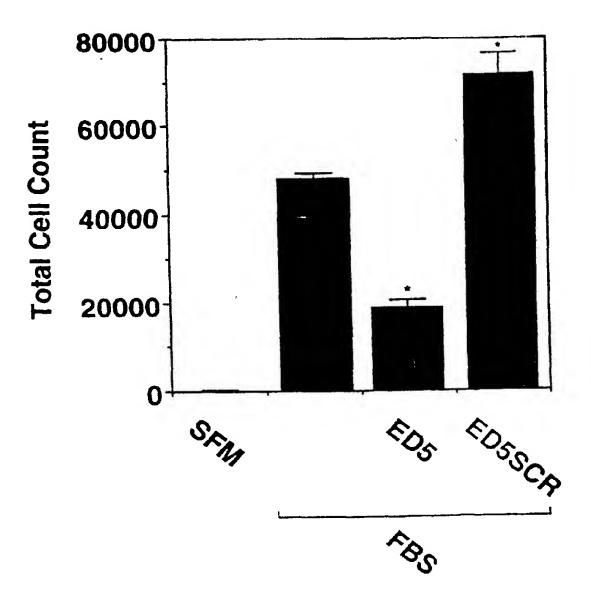


Figure 3C

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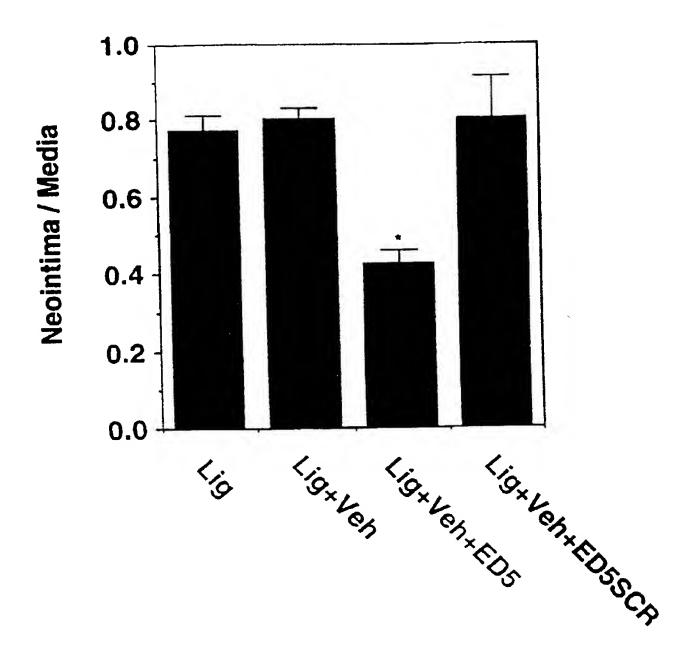


Figure 4

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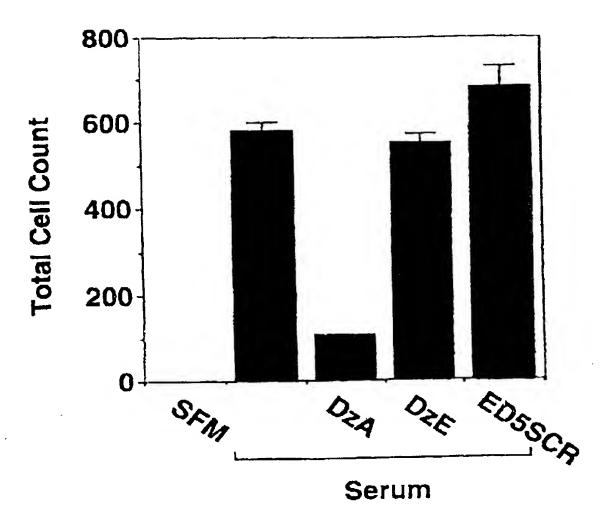


Figure 5

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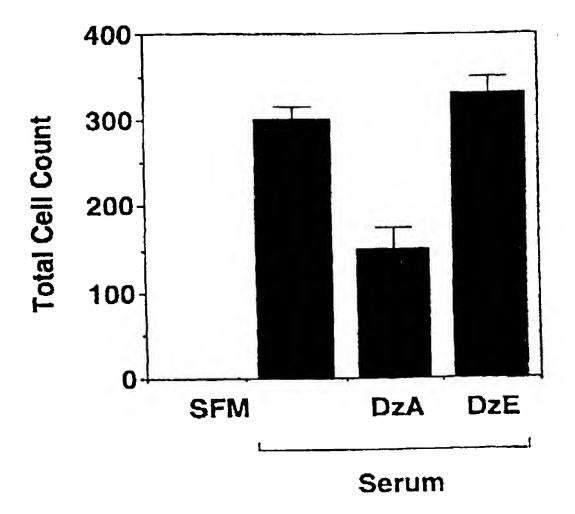


Figure 6



#### DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; believe that I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "CATALYTIC MOLECULES," the specification of which was filed as International Application No. PCT/AU00/00011 on January 11, 2000 and was transmitted to the U.S. Receiving Office on July 11, 2001 together with a Preliminary Amendment dated July 11, 2001, and which was assigned Application Serial No. 09/889,075. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PP8103 Australia 11/January/1999 ⊠ □
(Application Serial Number) (Country) (Day/Month/Year Filed) Yes No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

NONE

(Application Serial Number)

(Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

NONE

(Application Serial Number) (Day/Month/Year Filed) (Status-Patented, Pending or Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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#### APPLICABLE RULES AND STATUTES

# 37 CFR 1.56. DUTY OF DISCLOSURE - INFORMATION MATERIAL TO PATENTABILITY (Applicable Portion)

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentability defines, to make sure that any material information contained therein is disclosed to the Office.

Information relating to the following factual situations enumerated in 35 USC 102 and 103 may be considered material under 37 CFR 1.56(a).

# 35 U.S.C. 102. CONDITIONS FOR PATENTABILITY: NOVELTY AND LOSS OF RIGHT TO PATENT

A person shall be entitled to a patent unless --

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
  - (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
  - (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

#### 35 U.S.C. 103. CONDITIONS FOR PATENTABILITY; NON-OBVIOUS SUBJECT MATTER (Applicable Portion)

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

# 35 U.S.C. 112. SPECIFICATION (Applicable Portion)

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

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# SEQUENCE LISTING <110> Johnson & Johnson Pty Ltd

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Unisearch Limited

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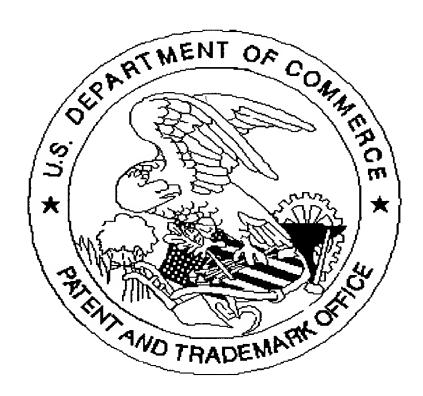
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